

vision for the future

GOVERNMENT / REGULATOR

Arena 1A

**A risk-based approach to
regulation: what does this
require from providers?**

Anthony Barkey



NATIONAL VET CONFERENCE
GOLD COAST 2021

#2021NVC



Australian Government
Australian Skills Quality Authority

ASQA

A risk-based approach to regulation

What does this require from providers?



9 Sept 2021

Anthony Barkey | Director, Quality Assessment



Acknowledgement of Country



What we'll cover today

- ~ ASQA's risk-based regulatory approach
- ~ Risk-management as best practice
- ~ Quality outcomes – what do we mean?



Webinar “ASQA’s Regulatory Approach”

13 May 2021



Risk-based regulation

Our operating model



Working together for quality outcomes



Working together to tackle risks

- ~ Meaningful engagement with stakeholders – including via the Stakeholder Liaison Group and Provider Roundtable
- ~ Co-design processes: working with providers, industry and employers, governments and students to identify risks and develop effective regulatory responses



Our engagement with you

- ~ Enhanced consultation and engagement opportunities
- ~ Timely and targeted communications
- ~ Resources
- ~ Webinars
- ~ InfoLine



Focus on excellence and education

- ~ ASQA's educative role: building capability for provider self-assurance
- ~ Inspiring excellence and a culture of continuous improvement
- ~ Improving communications materials and tools



Using data and intelligence to understand and respond to risk

- ~ Data and intelligence drawn from multiple sources
- ~ Ongoing environmental scanning to understand risks to sector performance and outcomes – informing regulatory priorities and proportionate responses



Risk management through regulatory campaigns

- ~ Regulatory Operating Model: informing and shaping our regulatory activities
- ~ Key opportunities to inform, educate, consult and collaborate
- ~ Recent example: online learning during Covid restrictions



Risk management through collaboration

- ~ Engaging with our stakeholders so that risks are better understood
- ~ Providing genuine opportunities to work collaboratively with training providers, industry stakeholders and other partners
- ~ Co-designing risk mitigation and regulatory treatments



Quality outcomes: what do we mean?

Self-assurance

- ~ Promoting and supporting provider self-assurance
- ~ Addressing deficiencies and non-compliance
- ~ Providing clearer feedback and more support
- ~ Annual declarations on compliance



Quality outcomes: what do we mean?

Continuous improvement

- ~ Student, industry and community expectations of VET providers
- ~ Promoting and supporting continuous improvement and best practice
- ~ Effective monitoring of the impact and effectiveness of our regulatory responses

Quality outcomes: what do we mean?

Effective engagement with industry

- ~ Delivering quality graduates to meet industry needs
- ~ Seeking regular feedback from employers and industry stakeholders
- ~ Industry partnerships; networking; exchanges; representation

Quality outcomes: what do we mean?

Assessment validation

- ~ The benefits of validation
- ~ Assessment validation to promote and enhance quality

Spotlight On series two

Understanding validation

**Improving
validation**



Australian Government
Australian Skills Quality Authority

ASQA

For more information:

 asqa.gov.au

 enquiries@asqa.gov.au

 [@asqagovau](https://twitter.com/asqagovau)

 1300 701 801



COMING UP **NEXT:**

3.30 - 5.00PM



**YOU ARE
HERE**

VET IN SCHOOLS

Arena 1A

**Trainer & Assessor
Compliance and
Effective Industry
Engagement**

Melanie Alexandra

TRAINING & STUDENT ENGAGEMENT

Arena 1B

Permission to Play

Jason Ash

MANAGEMENT

Central A

**Building a Kick Ass
Strategy for the New
Decade**

Jason Blinman

EXPLORATION

Central B&C

**Tips and Tricks for
DIY Digital Learning
Resources**

Kerri Buttery

DEEP DIVE

Room 5,6,7

**Compliance:
The annual
approach**

Angela McGregor

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