

vision for the future



COMPLIANCE

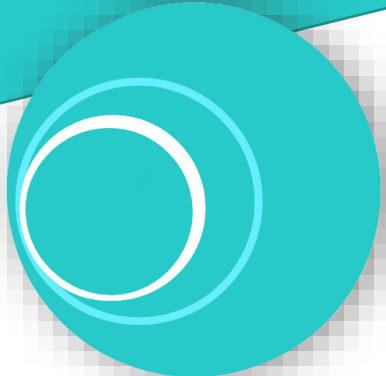
Welcome to VET: Intro to the Standards Part 1 of 2

Michelle Charlton



NATIONAL VET CONFERENCE
GOLD COAST 2021

#2021NVC



Welcome to VET Introduction to the Standards – Part 1

Michelle Charlton
Specialised VET Services

9 September 2021
Velg Training National VET Conference



Overview

Doing business

Doing business as a VET business

Applicable legal and VET frameworks

Where the *Standards for RTOs 2015* fits in

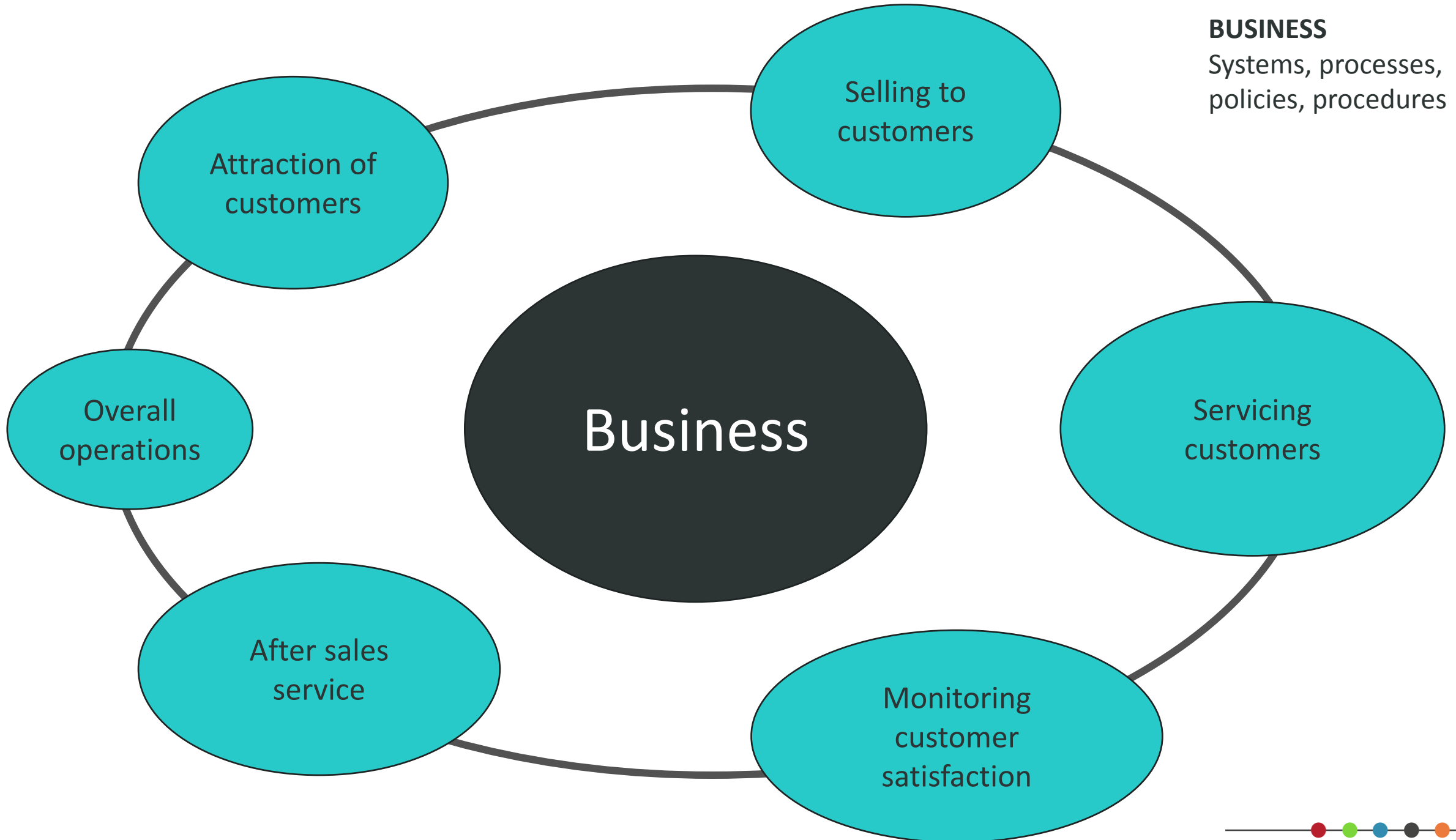
Overview of the make-up of the legislative instrument

Intent of the Standards

Content of the Standards

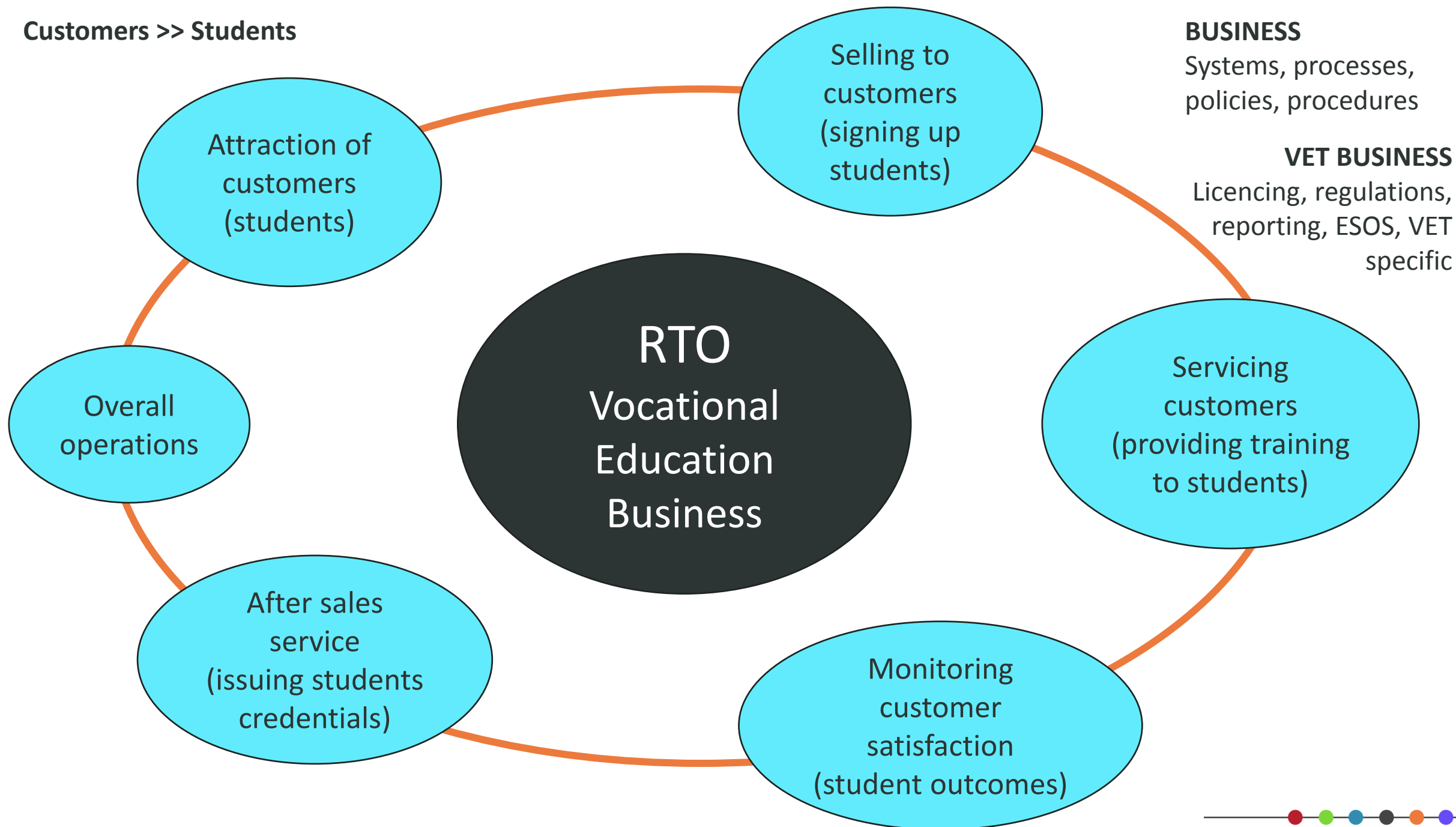
Doing Business





BUSINESS
Systems, processes,
policies, procedures

Customers >> Students



“I think I’ll do a course”

In VET, this thought triggers the first interaction a student may have with an RTO.

And so begins the exposure to compliance requirements for any organisation offering nationally accredited training and assessment in Australia.



Attraction of
customers
(students)





Quick, easy,
qualifications
GUARANTEED

No study –
just sign
here

Get your
certificate and
get that job

Best option
ever!

Business of Vocational Education

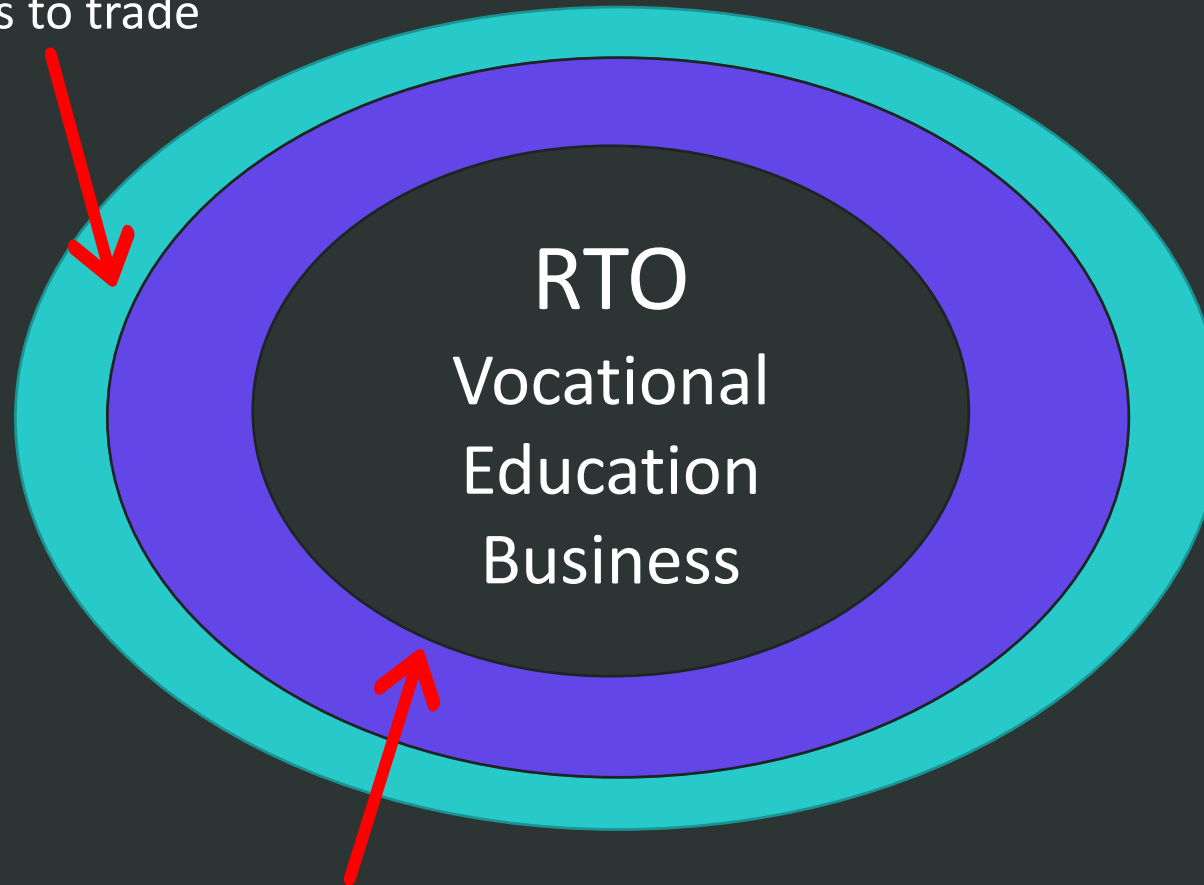


All legal requirements to trade

E.g. Taxation, fair trading,
privacy, employment,
workers' compensation etc.

PLUS

Licencing, regulations,
reporting, ESOS, **VET
specific requirements**



Business' systems and SOPs



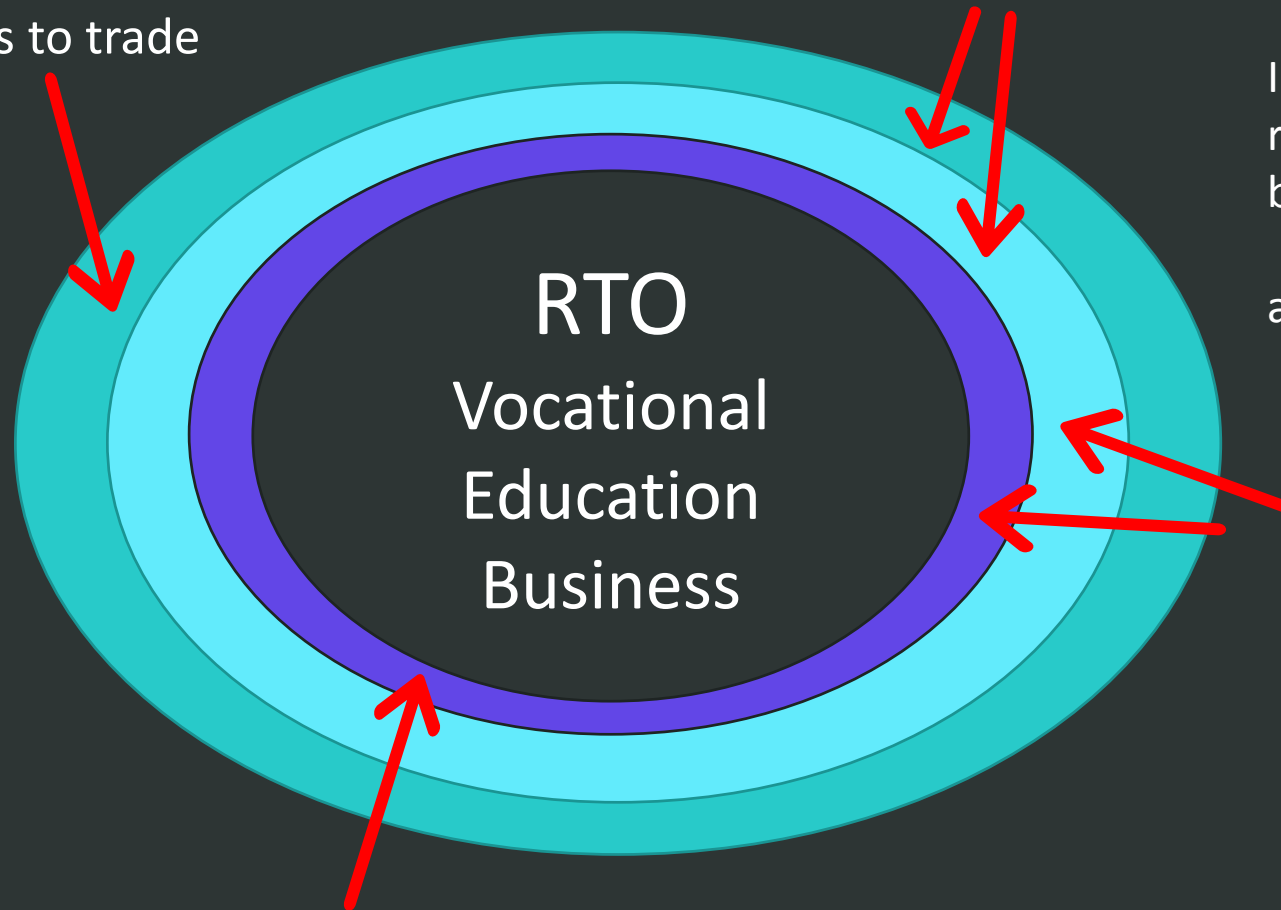
All legal requirements to trade

Standards for RTOs 2015

Including specific
requirements for
business SOPs

and

Trainers, staff,
products,
resources,
industry links



RTO
Vocational
Education
Business

Business' systems and SOPs

Unique to the business' way of doing things: systems, processes, policies, procedures



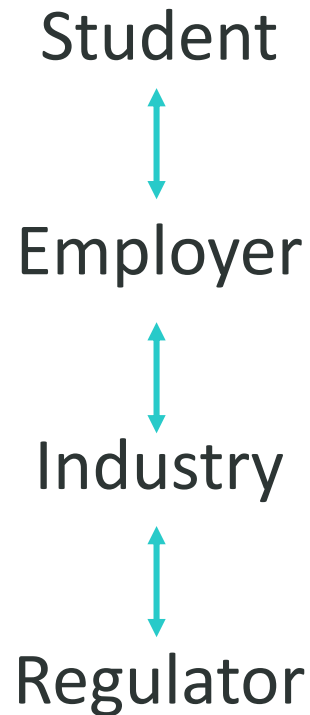
In this industry, a ‘good’ business is a
compliant business

In this industry, a compliant business is
a ‘good’ business



Happy customers

What constitutes a happy customer?

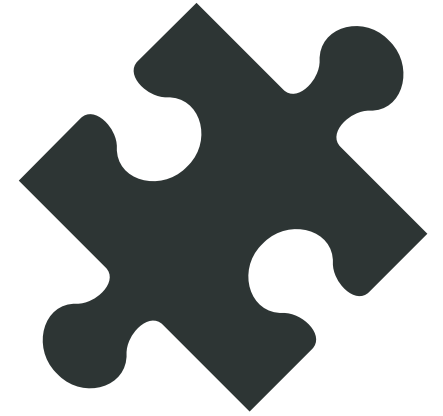


Quality Education and Training
Valid Qualification

Skilled workers

Businesses doing as required
Ensure sector reputation and value

Standards for RTOs 2015



Standards for Registered Training Organisations (RTOs) 2015

made under subsection 185(1) and subsection 186(1) of the *National Vocational Education and Training Regulator Act 2011* (the Act).

Compilation No.3

Compilation date: 1 July 2019

Includes amendments up to: Standards for Registered Training Organisations
(RTOs) Amendment 2019 (No. 1) [F2019L00445]

Legal and VET Frameworks

Standards for Registered Training
Organisations (RTOs) 2015



RTO businesses



Legal Frameworks

Legal



National Vocational Education and Training Regulator Act 2011

No. 12, 2011

Compilation No. 18

Compilation date: 1 January 2021

Includes amendments up to: Act No. 77, 2020

Registered: 2 March 2021

National Vocational Education and Training Regulator Act 2011 “NVR Act / NVETR Act”

NVR Registered Training
Organisation (RTO)

*Registered by National VET
Regulator under NVR Act*

National VET Regulator
(ASQA)

*Established by section 155 of
NVR Act*

Standards for NVR
Registered Training
Organisations

*Under section 185 of NVR Act,
Minister may, by legislative
instrument, make standards*

VET Frameworks

VET

VET Quality Framework (VQF)

Standards for RTOs 2015
("the Standards")

Legislative instrument under 185 of NVR Act

Fit and Proper Person
Requirements 2011

Legislative instrument under 186(1) of NVR Act

Financial Viability Risk
Assessment Requirements
2021

Legislative instrument under 158(1) of NVR Act

Data Provision
Requirements

*National Vocational Education and Training Regulator (Data Provision Requirements)
Instrument 2020 - Legislative instrument under 187 of NVR Act*

Australian Qualifications
Framework (AQF)

*National policy for regulated qualifications in
Australian education and training*



Standards for RTOs 2015

Parts - 4

Schedules - 6

Standards - 8

(each with underlying Clauses)



Standards for RTOs 2015

Parts

1. Preliminary

Name – Purpose – Structure – Definitions – Glossary

2. Training and assessment

Standard 1 – Standard 2 – Standard 3

3. Obligations to learners and clients

Standard 4 – Standard 5 – Standard 6

4. RTO governance and administration

Standard 7 – Standard 8



Standards for RTOs 2015

Schedules

1. Training and assessment credentials

7 items

2. Independent validation requirements for RTOs delivering TAE products

Explains Clause 1.25

3. Fit and Proper Person Requirements

Outlines 11 criteria for suitability

4. Conditions of use of NRT Logo

Outlines 6 situations and conditions of use



Standards for RTOs 2015

Schedules cont..

5. Application of the AQF Qualifications Issuance Policy within the VET Sector

Outlines 8 requirements for issuing AQF Qualifications

6. Requirements for protecting fees prepaid by individual learners, or prospective learners, for services

Obligations and requirements for RTOs in addition to those contained in the *Education Services for Overseas Students Act 2000* (Cth) (ESOS)

Quick Recap

NVR Act 2011 (Parent Law)

- Legislative instrument
(detailed law to support parent law)
 - Name: ***Standards for RTOs 2015***

As a document, contains

- Parts x 4
- Standards x 8
 - With Clauses under each Standard
- Schedules x 6
(information about how the law will apply in certain circumstances)

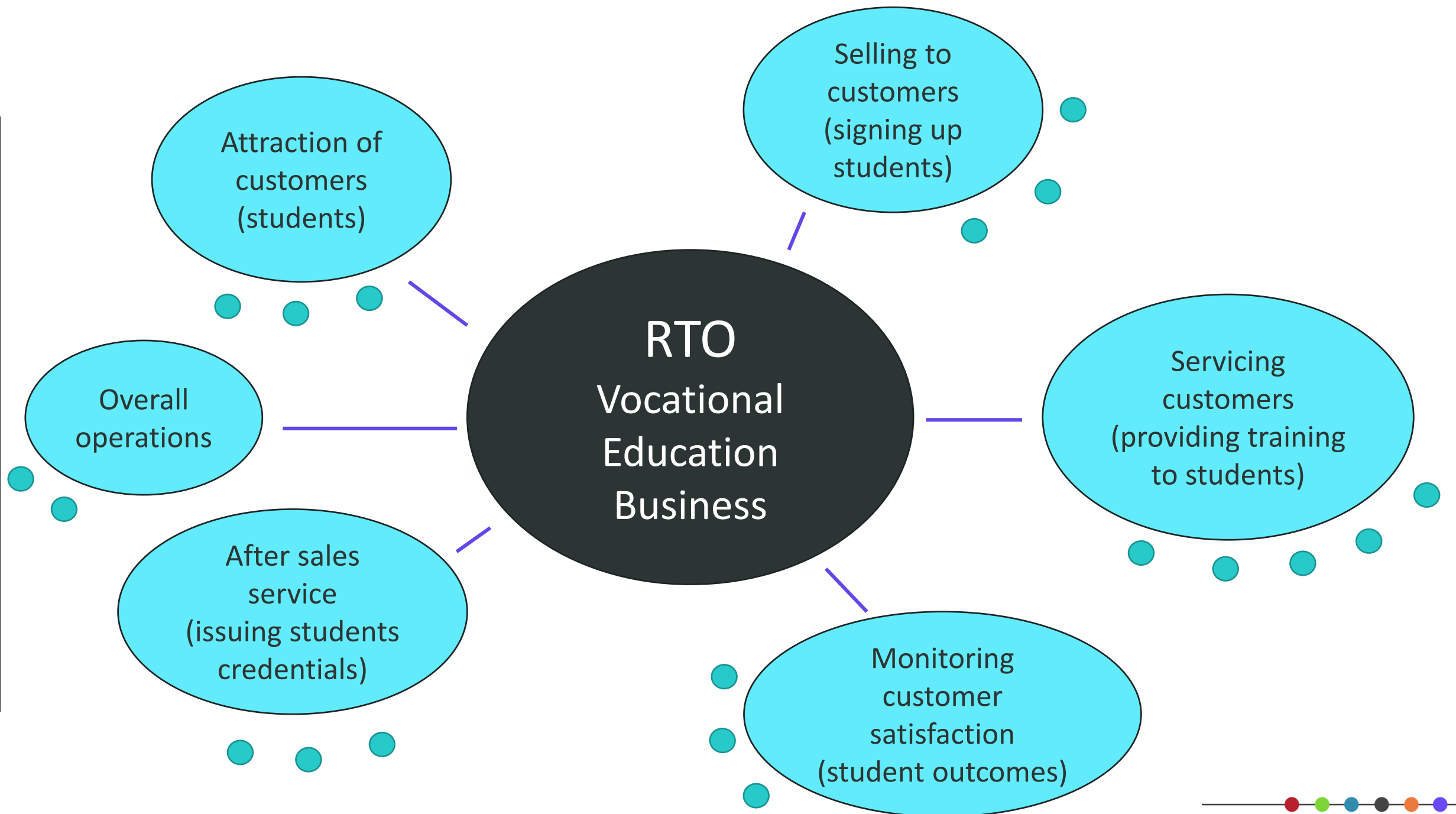


Standards for RTOs 2015 also part of the VQF – together with other legislative instruments from the NVR Act and the AQF



Intent of the Standards





Attracting students



Signing up students

Rules for how a
'sale' is closed –
what cannot be
offered or
guaranteed

What info must
be supplied



Servicing students



Full disclosure on who, what, when, where, how

Stakeholder factors



Requirements for:

- Reporting student progress
- Measuring, reporting and dealing with student and employer [dis]satisfaction with training
- Confirming content with industry
- Ensuring integrity
- Sharing track-records

Issuing student credentials



Rules for aligning with national policy for regulated qualifications (AQF)

Overall operations

Rules for:

- Certain processes
- Who can be involved
- Ongoing registration
- Cooperation with ASQA



Content of the Standards



Standard 1

In part 2 'Training and Assessment'

The RTO's training and assessment strategies and practices are responsive to industry and learner needs and meet the requirements of training packages and VET accredited courses

Clauses 1.1 – 1.20; 1.22 – 1.27



More on this in session 2...

Standard 2

In part 2 'Training and Assessment'

The operations of the RTO are quality assured

Clauses 2.1 – 2.4

Standard 3

In part 2 'Training and Assessment'

The RTO issues, maintains and accepts AQF certification documentation in accordance with these Standards and provides access to learner records

Clauses 3.1 – 3.6

Standard 4

In part 3 'Obligations to learners and clients'

Accurate and accessible information about an RTO, its services and performance is available to inform prospective and current learners and clients

Clause 4.1

Standard 5

In part 3 'Obligations to learners and clients'

Each learner is properly informed and protected

Clause 5.1 – 5.4

Standard 6

In part 3 'Obligations to learners and clients'

Complaints and appeals are recorded, acknowledged and dealt with fairly, efficiently and effectively

Clause 6.1 – 6.6



Standard 7

In part 4 'RTO governance and administration'

The RTO has effective governance and administration arrangements in place

Clause 7.1 – 7.5

Standard 8

In part 4 'RTO governance and administration'

The RTO cooperates with the VET Regulator and is legally compliant at all times

Clause 8.1 – 8.6

In this industry, a ‘good’ business is a
compliant business

In this industry, a compliant business is
a ‘good’ business



Vocational Education

Students

Business

Customers

Compliance

Quality

Good Business



Our Presenter – Michelle Charlton

As the Principal of her organisation, **Michelle** works with her team to provide specialised VET and RTO support services including:

- Writing and resource development
- Assessment review
- Assessment validation
- QA review services
- Professional Development information and activities

Michelle is an experienced presenter and facilitator, a qualified trainer and assessor, and has industry qualifications and experience in project management, leadership and management, training and education, and business operations.

She holds tertiary qualifications in Psychology, Adult and Vocational Education, and Training and Development.



E: michelle@specialisedvetservices.com.au



COMING UP **NEXT:**

12.10 - 1.00PM



vision for the future

COMPLIANCE

**Welcome to VET:
Intro to the Standards
Part 2 of 2**

Michelle Charlton

TRAINING & STUDENT ENGAGEMENT

**Learning Differently -
Addressing the
challenges faced by
students with
Dyslexia and other
learning differences**

Karen Dymke

ASSESSMENT

**Influences of AQF on
Assessment Questions**

Anni Yaringa

RTO ADMINISTRATION

**What Auditors are
Looking for in
Completed Student Files**

Kevin Ekendal

EXPLORATION

**Re-Defining
Competency: Time for
a new approach**

Michael Hartman